

Burhan AbdalEnus
Plasser UK Ltd
Manor Road
West Ealing
London
W13 0PP

Case Ref PRM-IOP-0300

EIN UK/54/2019/0001

27th February 2019
Contact: Paul Fray
HM Inspector of Railways
One Kemble Street
London
WC2B 4AN

Dear Mr AbdalEnus

**THE RAILWAYS (INTEROPERABILITY) REGULATIONS 2011, AS AMENDED
AUTHORISED TYPE OF VEHICLE – PLASSER 09-4X4/4S**

I refer to your application for authorisation, received on the 1st February 2019. Following review of your application, I can confirm that ORR grants a type authorisation under regulation 4(1)(a) of the Railways (Interoperability) Regulations 2011, as amended. This authorisation is for the placing in service of PLASSER 09-4X4/4S the following machines

- DR75008, EVN 99709123008-3
- DR75009, EVN 99709123009-1
- DR75010, EVN 99709123010-9
- DR75011, EVN 99709123011-7

The restrictions or limitations of use on the structural subsystem are those contained within:

Your declaration to type: 190201_Declaration of Conformity to an Authorised Type of Vehicle – Plasser 09-4x4_4s

And your technical file Technical File RTUKR-T40712-002 Issue 1 for Unimat 09-4X4/4S Tampers – Modifications to the Type Ref 71-014-001-3-001 for Plasser UK on behalf of Plasser & Theurer Export von Bahnbaumaschinen Gesellschaft m.b.H

Pummererstraße 5, A-4021 Linz, Austria

Which contains:

Notified Body Certificates

Module SB Type Examination: 1133-1-SB-2019-RST-ENEN-0056, Issue 1

Module SD Quality Management System Approval: 1133-4-SD-2019-RST-ENEN-0057, Issue 1

Module SD Certificate of Verification: 1133-6-SD-2019-RST-ENEN-0058, Issue 1

and

Designated Body Certificates

Module SB Type Examination: 0021-1-SB-2019-RST-ENEN-0059, Issue 1

Module SD Quality Management System Approval: 0021-4-SD-2019-RST-ENEN-0060, Issue 1

Module SD Certificate of Verification: 0021-6-SD-2019-RST-ENEN-0061, Issue 1

There is one conditions or requirements which must be met.

Plasser in association with the operator should upgrade the GSM R radio as soon as reasonably practicable, and no later than 6 months after when GSM R radios comply fully with the requirements of 2016/919/EU are commercially available.

The rolling stock subsystem(s) authorised by this letter must be operated and maintained in accordance with Regulation 20.

You should be aware that any future modifications to the authorised subsystem may constitute a 'renewal' or an 'upgrade' as defined in Regulation 2. If a project entity, in relation to the project, considers that the modification meets either of these definitions they may apply, in accordance with the provisions of Regulation 13, to the Department for Transport (DfT) for a decision on whether a new authorisation will be required. Should DfT decide that an authorisation is not required they must consult with ORR whether authorisation is required on safety grounds.

As the project entity you are responsible for retaining the technical file, keeping it up to date and making it available to the ORR in accordance with Regulations 18 and 19.

If you are not the owner of the authorised subsystem you shall within 60 days, in accordance with Regulation 19(3), transfer the technical file, certificate of verification and verification declaration to the owner of the subsystem and the owner shall then be regarded as the project entity. If the owner, in accordance with Regulation 19(4), disposes of his interest in the authorised subsystem, he shall within 60 days of the disposal transfer the technical file, certificate of verification and verification declaration

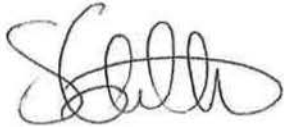
to the person acquiring that interest and that person shall be regarded as the project entity.

Please note that under Regulation 36, the person who applied for the authorisation shall send particulars to the Registration Entity to enable the registration entity to enter the information on the National Vehicle Register. This will include such further information as the registration entity may reasonably require set out in the relevant standard.

The person who applied for the authorisation to place in service will be issued with a determination of type in accordance with Commission Implementing Decision 2011/665/EC. The person who applied for the authorisation to place in service will receive the type authorisation after providing the data to the Registration Entity in accordance with Annex II of Commission Implementing Decision 2011/665/EC.

If you are the operator, may I remind you of the need to have adequate arrangements within your Safety Management System to control the risks associated with this rolling stock subsystem(s).

Yours sincerely



Steve Fletcher
Deputy Director, Railway Planning and Performance

Cc

Ian Jones	Head of Interoperability, Safety and Standards DfT
Patricia Strickfuss	Registration Entity, National Vehicle Register
Paul Hooper	Interoperability Manager ORR